PTO/SB/17 (08-03)

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FEE TRANSMITTA for FY 2004

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Under the Paperwork Reduction Ac 0 1995 - pa

Effective 10/01/2003. Patent fees are subject to a mula revision.

Applicant claims small entity status. See 37 CFR 1935-MAN

Application Number 09/903,508 ling Date July 13, 2001 First Named Inventor Charles Abbas Examiner Name Lambertson, D. **Art Unit** 1636

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 420.00 Attorney Docket No. 1533.0830003/MAC/MBT		/MAC/MBT					
METHOD OF PAYMENT (check all that apply)				F	EE CALCULATION (	continued)	
☐ Check 🎖 Credit card ☐ Money Order 💆 Other** ☐ None		3. ADDITIONAL FEES					
Check Concept Card Money Order Other* None Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		Entity	Sm	all Ent	ity		
Deposit Account Deposit Account Number 19-0036	Fee Code	Fee Fee (\$) Co	e Fee de (\$)	•	Fee Description		Fee Paid
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.		130	2051	65	Surcharge - late filing f	fee or oath	
The Commissioner is authorized to: (check all that apply)		50	2052	25	Surcharge-late provision sheet	onal filing fee or cover	
☐ Charge fee(s) indicated below ☐ Credit any over payments		130	1053	130	Non-English specificati	ion	
Charge any additional fee(s) during the pendency of this	1812	2,520	1812	2,520	For filing a request for	ex parte reexamination	
application  Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		920* 1,840*	1804 1805	920* 1,840*	action  Requesting publication	of SIR prior to Examiner of SIR after Examiner	
					action		
	1251		2251	55	Extension for reply with		
	1252 1253	420	2252	210	Extension for reply with		420.00
FEE CALCULATION			2253	475	Extension for reply with		
1. BASIC FILING FEE	1254	1,480		740	Extension for reply with		
Large Entity Small Entity	1255	2,010	2255	1,005	Extension for reply with	nin fifth month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1401	330	2401	165	Notice of Appeal		
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in support	t of an appeal	
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearing	ng	
1003 530 2003 265 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a po	ublic use proceeding	
1004 770 2004 385 Reissue filing fee	1452	110	2452	55	Petition to revive - una	voidable	
1105 160 2005 80 Provisional filing fee	1453	1,330	2453	665	Petition to revive - unin	ntentional	
SUBTOTAL (1) (\$) <u>0.00</u>	1501		2501	665	Utility issue fee (or reis	sue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	480	2502	240	Design issue fee		
Fee from Extra below Fee Paid	1503	640	2503 320 Plant issue fee				
Total Claims 20** = X =	1460		1460				
Indep. Claims 3** = X =	1400	130	1460	130	Petitions to the Commi	ssioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 3	37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Informat	tion Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40	Recording each patent property (times number	assignment per r of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after 1.129(a))	er final rejection (37 CFR	
1201         86         2201         43         Independent claims in excess of 3           1203         290         2203         145         Multiple dependent claim, if not paid	1810	770	2810	385	For each additional inv (37 CFR 1.129(b))		
1204 86 2204 43 **Reissue independent claims	1801	770	2801	385	Request for Continued		
over original patent 1205 18 2205 9 **Reissue claims in excess of 20	1802	900	1802	900	Request for expedited	examination of a design	
and over original patent	Other t	fee (spec	ify)				
**or number previously paid, if greater; For Reissue, see above	* Red	uced by	Basic F	Filing Fe	ee Paid	SUBTOTAL (3) (\$) 42	20.00
SUBMITTED BY			_			Complete (if applicable)	
Name (Print/Type) Mary B. Tung	No. B. Tues Registration No. Fo.007 Talashare 202 274 266		-2600				
Signature 2 Mary B. Tunk	(Attorr	ney/Ager	it)			Date November	-

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Image 1636





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Aric W. Ledford\*

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\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

November 17, 2003

WRITER'S DIRECT NUMBER: (202) 772-8840
INTERNET ADDRESS: MTUNG@SKGF.COM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Art Unit 1636

Re:

U.S. Utility Patent Application

Appl. No. 09/903,508; Filed: July 13, 2001

For: Transformation Systems for Flavinogenic Yeast

Inventors:

Abbas et al.

Our Ref:

1533.0830003/MAC/MBT

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Form PTO-2038 Credit Card Payment Form for \$420.00 to cover: \$420.00 Extension Fee Under 37 C.F.R. § 1.136;
- 2. Fee Transmittal Form;
- 3. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
- 4. Amendment and Reply Under 37 C.F.R. § 1.111; and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents November 17, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Mary B. Tung
Agent for Applicants

Registration No. 50,007

MAC/MBT/lam Enclosures

SKGF\_DC1:198691.1



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Designations:	[CBS 2021; CCRC 20862; CCY 29- 4-13; IFO 0566; JCM 1606; NRRL Y-488]		
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Subcollection:	Yeasts		
References:	164: Tanner FW Jr, et al Riboflavin 181, 1945. 298: Nielsen P, Bacher A. Biosynth the deaminase. Biochim. Biophys. At 2242: Fried JH. Stimulatory effect of 3,669,839 dated Jun 13 1972 2506: Fukuda H, et al. Method for prince 1973 18609: Burkholder PR. Fermentatio B2). US Patent 2,363,227 dated Nov 46045: Asenjo JA, et al Growth and	esis of riboflavin. Clota 662: 312-317, 1 of organic acids in ciproducing citric acid n process for the proces	haracterization of the product of 1981. PubMed: <u>7317443</u> itric acid fermentation. US Patent . US Patent 3,726,763 dated Aproduction of riboflavin (vitamin

using a cellulose substrate. Biotechnol. Bioeng. Symp. 12: 111-120, 1982. 58232: Contasti V , Bahar S . Riboflavin production by Candida guilliermondii from liquid brewery waste. Acta Cient. Venez. 39: 69-74, 1988. PubMed: 3239343

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14:16

SPDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSE OF PATENT PROCEDURES

#### INTERNATIONAL FORM

TO

## VIABILITY STATEMENT

ADM 1001 N. Brush College Road Decatur, IL 62521

issued pursuant to Rule 10.2 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

NAME AND ADDRESS OF THE PARTY TO WHOM THE VIABILITY STATEMENT IS ISSUED

	- <del></del>		
I. DEPOSITOR	II. IDENTIFICATION OF THE MICROORGANISM		
Name: ADM 1001 N. Brush College Road Address: Decatur, IL 62521	Depositor's taxonomic designation and accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  Candida famata NRRL Y-30292		
	Date of: May 5,2000  X 'Original Deposit  New Deposit  Repropagation of Original Deposit		
III. (a) VIABILITY STATEMENT	· .		
Deposit was found: X Viable Nonviable on May 13, 2000 (Date)  International Depositary Authority's preparation was found viable on May 29, 2000(Date)			
III. (b) DEPOSITOR'S EQUIVALENCY DECLARA	ATION		
Depositor determined the International Depositor determin	positary Authority's preparation was		
Signature of Depositor			
IV. CONDITIONS UNDER WHICH THE VIABILITY	TEST WAS PERFORMED (Depositors/Depositary)		
V. INTERNATIONAL DEPOSITARY AUTHORITY			
Name: Agricultural Research Culture Collection (NRRL) International Depositary Authority	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):		
Address: 1815 N. University Street Peoria, Illinois 61604 U.S.A.	Date: 6-1-W		
	<del></del>		

I indicate the date of the original deposit or when a new deposit has been made.

I think with a cross the applicable box.

In the cases referred to in Rule 10.2(a)(ii) and (iii), refer to the most recent viability test. \* Fill in if the information has been requested.

# BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSE OF PATENT PROCEDURES

## INTERNATIONAL FORM

TO
ADM
1001 N. Brush College Road
Decatur, IL 62521

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

#### NAME AND ADDRESS OF DEPOSITOR

I. IDENTIFICATION OF THE MICROORGANISM				
Identification reference given by the DEPOSITOR:	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:			
Candida famata L20105	NRRL Y-30292			
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION				
The microorganism identified under I. above was accompanied by:				
a scientific description	And the second s			
	and the second of the second o			
x a proposed taxonomic designation				
(Mark with a cross where applicable)				
III. RECEIPT AND ACCEPTANCE				
This International Depositary Authority accepts the microorganism identified under I. above, which was received by it on May 5,2000(date of the original deposit)				
IV. RECEIPT OF REQUEST FOR CONVERSION				
The microorganism identified under I. above was received by this International  Depositary Authority on (date of the original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion).				
V. INTERNATIONAL DEPOSITARY AUTHORITY				
Name: Agricultural Research Culture Collection (NRRL) International Depositary Authority Address: 1815 N. University Street	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):  Date:			
Peoria, Illinois 61604 U.S.A.	Date: 6-1-00			

Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.